

UEP 250 Foundations of Public Policy and Planning

Department of Urban and Environmental Policy and Planning
Tufts University
Fall 2007
Monday and Wednesday 10:30 – 11:45 a.m.

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Course Description and Objectives

This course provides a critical overview of the basic elements of planning and public policy formation and application, involving a range of environmental and social policy issues. Materials are aimed to give students an introductory understanding of key spatial and non-spatial issues and challenges in planning and public policy. Select issues are utilized in each area to emphasize major ideas and debates regarding planning and public policy. Additionally, the materials and presentations are aimed to help students understand how planning and public policy are both distinct, and overlapping. The course is organized into three sections: *Concepts, Contexts, and Key Issues*; *Interplay Between Planning, Environment, and Social Welfare*; and *Influencing and Implementing Public Policy: Select Issues*.

Required Texts

Scott Campbell and Susan S. Fainstein. (2003). *Readings in Planning Theory*.
Malden, MA: Blackwell Publishing.

Deborah Stone. (2002). *Policy Paradox: The Art of Political Decision Making*.
Revised Edition. New York: W.W. Norton and Company.

The required supplementary reader is available for purchase at Gnomon Copy, located behind campus at 348 Boston Ave. Gnomon Copy is open Monday – Friday from 8:00 a.m. to 5:00 p.m. Gnomon Copy can be reached at (781) 391-6666.

Class Organization and Activities

The course uses required readings, lectures, guest presentations, and small group discussion in order to provide students with a range of 'windows' by which to examine, analyze, and understand essential planning and policy dynamics and elements.

As early as possible, we want to help you to articulate your own policy/planning area of interest as this will feature strongly in your assignments. You should declare your policy/planning area of interest to the TAs by September 12th. While we have selected topics germane to the course objectives, students will have opportunities to suggest other topics for further, in-depth small group discussions throughout the semester.

Please check Blackboard weekly for announcements. Tufts' Blackboard can be found at <http://crs.ase.tufts.edu>. Please note as well that many of the readings are posted on Blackboard. This reduces the paper used and the purchase price of the reader.

Course Requirements and Assessment

Your grade will be based on classroom participation and an indication that required readings assignments have been completed; an interview essay with a 'practitioner' on a topic or issue related to the course; a 'journalistic' account of a community or government meeting involving public discussion of a topic related to the course, a policy memo describing a planning or policy issue in the environmental or social welfare fields, and a portfolio and poster.

Pre-Assignment: Declare your policy/planning area of interest by September 12th

Assignment #1 (10%): Interview report – Due Sept. 26th; 3-4 double-spaced pages

The major goal of this assignment is to give you some experience articulating questions related to planning and public policy. It is an opportunity to talk with someone who has experience in your policy/planning area of interest. To do this, you will need to find a cooperative second-year UEP student (TAs will assist) and ask for about 15 minutes of her/his time. Your job is to learn as much as you can about her/his experiences with their policy/planning interest last year and to seek their help.

Your written assignment should include a brief description of your preliminary topic your policy/planning area of interest, how your ideas about it changed (or didn't change) as a result of the interview, and what major insights or lessons the second-year student gave you about this interest area. Also, please include the name of the second-year UEP student and the questions you asked. A final paragraph should provide a summary statement. The paper can be structured in a question-and-answer format, if desired.

Assignment #2 (20%): Journalistic account of public meeting – Due by Oct. 22nd; 4-5 double-spaced pages

This assignment will require that you attend a community or government meeting focusing on an issue or concern, *preferably* in your policy/planning area of interest. This assignment should be completed in October. The meetings can include Board of Selectmen/Aldermen/City Council meetings; Planning Board or Zoning meetings; Historic Preservation Commission meetings, or community meetings organized by neighborhood groups. The TAs will put a list of local meetings, together with dates, on Blackboard. The journalistic account should include information about what happened during the meeting; analysis of one key issue that was discussed, and whether the issue deals with spatial or non-spatial concerns; and the account must reference *at least two* of the required course readings. Some of the papers will be selected for presentation to the class.

Assignment #3 (20%): Policy/Planning memo Due November 7. 4-5 double-spaced pages.

The purpose of the policy/planning memo is to provide readers with an overview of a particular issue, including its status, significance, and debates about its development. The policy memo should integrate readings completed in class and also include suggestions for at least two further readings about the issue, problem, or challenge. The memo *must* cover an issue that is not directly related to your portfolio topic. The analysis should include a definition of the “problem/situation” (and how the particular definition emerged); the range of policy/planning responses proposed and the intellectual or theoretical basis of such; the key policy/planning or research questions that remain unanswered; and your own assessment of the policy/planning issue or problem.

Assignment #4 (40%): The portfolio and poster (Due December 10th). 15 double-spaced pages for the analysis paper.

The major assignment of the semester involves your development of a Policy/Planning Portfolio. This represents a semester long tracking of your choice of a policy or planning topic. It is an opportunity for you to become informed and keep current, over a prolonged period, about an important policy/planning area. Your material will be affected by your choice of topic and scale. For instance, if your topic is global climate change, we expect you to use a considerable amount of international material. If it is welfare policy in Massachusetts, we expect you to use local, state and national materials.

The Portfolio should include: newspaper, book and journal article excerpts or quotations; documents (or excerpts) produced by government or non-government organizations and think-tanks; and data from databases. At the end of the semester, you are to hand in your completed portfolio of information, including a 15 page, double spaced, analysis of your planning/policy topic. This analysis should include a definition of the “problem/situation” your area addresses, a literature review, a discussion of what’s being/been/might be done to deal with the problem/situation, the overlaps with other policy/planning domains, your insights on policy/planning approaches that could be a solution, your assessment of the quality of the materials you were able to gather, and your evolving understanding of your domain over time

and how your perspective may have changed with your data. We expect you to use several class readings to develop your analysis. The analysis should be carefully documented and your citations should use the Chicago Manual of Style (you may use either the author date system or you may use end notes). Your reference and bibliography pages will not count toward the 15 page upper limit for this assignment.

Your session with Regina Raboin, Librarian, will be of great assistance to you. The completed portfolio and poster are due on December 10th. On December 10th you will have the opportunity to present your work in a poster format so that the rest of the class and well as the UEP community can learn about your topic. This is not a prepared presentation, but a poster that allows others to learn about your topic and an opportunity for them to ask you questions.

Classroom Participation (10%)

Course Schedule and Readings

Section 1: Concepts, Contexts, and Key Issues

Sept. 5: Student and faculty introductions; course organization, goals, and requirements (Hollander and Rappaport)

Readings:

Scott Campbell and Susan S. Fainstein (2003). "Introduction: The Structure and Debates of Planning Theory." In *Readings in Planning Theory*. pp. 1-16.

Deborah Stone, "The Market and the Polis," In *Policy Paradox*, pp. 17-34.

Sept. 10: Public Policy and Policy Analysis (Rappaport)

Readings:

Thomas R. Dye (1998). Chapter 1, "Policy Analysis" and Chapter 2, "Models of Politics." In *Understanding Public Policy* Upper Saddle River, NJ: Simon and Schuster. pp. 1-38.

Frank Fischer (1995). Chapter 1, "Public Policy Analysis as Practical Deliberation: Integrating Empirical and Normative Evaluation." In *Evaluating Public Policy*. Chicago: Nelson-Hall Publishers. pp. 1-24.

Beryl A. Radin (1997). "Presidential Address: The Evolution of the Policy Analysis Field: From Conversation to Conversation." *Journal of Policy Analysis and Management*, Vol. 16, no. 2, pp. 204-218. **AVAILABLE ON BLACKBOARD**

DECLARE POLICY/PLANNING AREA OF INTEREST BY SEPT 12

Sept. 12: What is Planning? (Hollander)

Readings:

Donald A. Krueckeberg (1983). "The Culture of Planning." In *Introduction to Planning History in the United States*, New Brunswick, NJ: Center for Urban Policy Research, pp. 1-12.

Michael P. Brooks (2002). Chapter 3, "Running the Gauntlet of Planning Critics." In Brooks, *Planning Theory for Practitioners*. Chicago: American Planning Association. pp. 35-49.

John Friedmann (1989). "Planning in the Public Domain: Discourse and Praxis." In *Classic Readings in Urban Planning*, Jay M. Stein, ed., New York: Mc-Graw Hill, 1995, pp. 74-79.

Susan S. Fainstein (2000). "New Directions in Planning Theory." In *Readings in Planning Theory*. pp. 173-195.

Sept. 17: Framing a Public Problem and Causal Stories (Rappaport)

Readings:

Policy Paradox, Chapter 8, "Causes," pp. 188-209.

John A. Hannigan (1995). "The Social Construction of Environmental Problems." In *Environmental Sociology*. London: Routledge. pp. 32-57.

Dietz, T (2003). What is a Good Decision? Criteria for Environmental Decision Making. Human Ecology Forum Vol 10. No 1 pp. 33-39. **AVAILABLE ON BLACKBOARD** or at www.humanecologyreview.org/pastissues/her101/101dietz.pdf

Sept. 19: Justification for Public Policy and Planning: Key Issues (Hollander)

Readings:

Richard E. Klosterman (1985). "Arguments For and Against Planning." In *Readings in Planning Theory*. pp. 86-101.

Policy Paradox, Chapters 2-5; "Equity," "Efficiency," "Security," "Liberty." pp. 39-130.

Michael P. Brooks (2002). Chapter 4, "Rationales for Public Planning." In *Planning Theory for Practitioners*. Chicago: American Planning Association. pp. 50-61.

Sept. 24: Evolution of Planning (Hollander)

Readings:

Peter Hall (1989). "The Turbulent Eighth Decade: Challenges to American City Planning." *Journal of the American Planning Association*. Spring. Vol. 55, no. 3, pp. 275-282.

June Manning Thomas (1994). "Planning History and the Black Urban Experience: Linkages and Contemporary Implications." *Journal of Planning Education and Research*, Vol. 14, pp. 1-11. **AVAILABLE ON BLACKBOARD**

Jane Jacobs (1961). "The Uses of Neighborhoods." From *The Death and Life of Great American Cities*. Reprinted in *Readings in Planning Theory*, pp. 61-74.

INTERVIEW REPORT DUE BY SEPT 26

Sept. 26: Public Policy and Social Welfare (Prof. James Jennings)

Readings:

J.D. Donahue (1999). *The Devolution Revolution*. New York: The Century Foundation Press pp. 23-47.

C. Noble (1997). *Welfare as We Knew It*. New York: Oxford University Press. pp. 54-78.

B. Thornton Dill, et al (2002). "Race, Family Values and Welfare Reform." In Kushnick and Jennings, *A New Introduction to Poverty: Role of Race, Power, and Politics*. New York: NYU Press. pp 263-286.

Oct. 1: Research, Bias, and Policy Analysis (Prof. Shelly Krimsky)

Readings:

M.E. Hawkesworth (1988). *Theoretical Issues in Policy Analysis*. Albany, NY: SUNY Press. pp. 184-195.

E.E. Schattschneider (1960). *The Semi-Sovereign People*. New York: Holt, Rinehart and Winston. pp. 60-75; 126-139.

S. Krimsky. (2003). 'A Question of Bias' in "*Science in the Private Interest*."

Oct. 3: Public Policy and the Private Sector (Rappaport)

Readings:

Kim Moody (2007) *From Welfare State to Real Estate: Regime change in New York City, 1974 to the present*. New Press.

Dorothy Holland et al. 2007. *Local Democracy Under Siege: Activism, Public Interests, and Private Politics*. New York Univ. Press.

Oct. 9 Research in Planning and Policy Analysis I (Regina Raboin, Librarian)

Library for group #1; small group discussion for group #2

Oct. 10: Research in Planning and Policy Analysis II (Regina Raboin, Librarian)

Library for group #2; small group discussion for group #1

Section 2: Interplay Between Planning, Environment, and Social Welfare

Oct. 15: The Sustainability Challenge (Rappaport)

Readings:

Lamont Hempel (1999). "Conceptual and Analytical Challenges in Building Sustainable Communities." In Daniel Mazmanian and Michael Kraft (eds.) *Toward Sustainable Communities*. Cambridge: MIT Press. pp. 43-74.

Scott Campbell (1996). "Green Cities, Growing Cities, Just Cities? Urban Planning and the Contradictions of Sustainable Development." In *Readings in Planning Theory*. pp. 435-458.

American Planning Association (2000). "Policy Guide on Planning for Sustainability." pp. 1-18.

Holly Sklar (1999). "Creating a Sustainable Urban Village: The Dudley Street Neighborhood Initiative" *Orion*, Vol.15, No.4, Autumn, 1996. pp. 28-38.

Oct. 17: Race, Gender and Public Policy (Prof. James Jennings)

Readings:

Peggy McIntosh (1989). "White Privilege: Unpacking the Invisible Knapsack." *Peace and Freedom*, July/August. pp. 10-12. **AVAILABLE ON BLACKBOARD**

Dolores Hayden (1984). "Nurturing, Home, Mom, and Apple Pie." In *Readings in Planning Theory*, pp. 376-400.

E. Blumenberg (2004). "En-gendering Effective Planning" *APA Journal*. Vol. 70, No. 3 (Summer), pp 269-281 **AVAILABLE ON BLACKBOARD**

JOURNALISTIC ACCOUNT OF PUBLIC MEETING DUE BY OCT. 22

Oct. 22: Land Use I: Ownership, Regulatory, and Legal Issues (Prof. Jon Witten)

Readings:

Daniel R. Mandelker (1976). "The Role of the Comprehensive Plan in Land Use Regulation," 74 Mich.L.Rev. 899.

"The Take on Takings." *Land Use Law and Zoning Digest*, June, 2002, pp. 3-21.

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Jonathan Witten (2003). "The Cost of Developing Affordable Housing: At What Price?" *Boston College Environmental Law Review*, Vol. 30, No. 3. **AVAILABLE ON BLACKBOARD**

S. Meck, (2002). "The Evolution of Model Zoning and Subdivision Statutes" *Growing Smart Legislative Guidebook*. (chapter 8)

Oct. 24: Land Use II: Growth Control, New and Old Visions (Hollander)

Readings:

Scott A. Bollens (1991). "Regional Planning and Land Use Localism: Can They Coexist?" *New England Journal of Public Policy*. Vol. 7, no. 1, pp. 55-74.

Myron Orfield (2002). "Land-Use Reform" and "Metropolitics and the Case for Regionalism." In *American Metropolitics: The New Suburban Reality*. Washington, DC: Brookings Institution Press. pp. 111-127 and 155-172.

Oct. 29: Evaluation and Public Policy (Prof. Fran Jacobs)

Readings:

Jacobs, F., & Kapuscik, J. (2000). *Making It Count: Evaluating Family Preservation Programs*. Medford, MA: Tufts University, 3 - 12.

Rossi, R.H.; Lipsey, M.W.; & Freeman, H.E. (2004). *Evaluation: A Systematic Approach*, (Seventh Edition). Thousand Oaks, CA: Sage Publications, 31 - 65.

Oct. 31: Environmental Justice and Planning (Prof. Julian Agyeman)

Readings:

Conservation Law Foundation (1998). *City routes, city rights: Building livable neighborhoods and environmental justice by fixing transportation*. Chapters 1 and 2. Boston. Conservation Law Foundation.

National Academy of Public Administration (2003). *Addressing Community Concerns: How Environmental Justice Relates to Land Use Planning and Zoning*. Chapter 3. **AVAILABLE ON BLACKBOARD**

Environmental Justice Resource Center (1999). Executive Summary. *Sprawl Atlanta: Social Equity Dimensions of Uneven Growth and Development*
<http://www.ejrc.cau.edu/sprlatlexcsum.html>

(continued)

Congressional Black Caucus Foundation Inc. (2004). *African Americans and Climate Change: An Unequal Burden*. Washington D.C. CBCF.
AVAILABLE ON BLACKBOARD (Read at least Executive Summary)

Nov. 5: Foundations and Field Projects (Rusty Russell)

MEMO ASSIGNMENT DUE NOV. 7

Nov. 7: Planning and Urban Settlements (Hollander)

Readings:

Ivan Light (1983). "Urbanization in the United States." In *Cities in a World Perspective*. pp. 93-122.

M. Christine Boyer (1983). Section I, "Would America Produce A Civilization of Cities? 1890-1909?" In *Dreaming the Rational City: The Myth of American City Planning*. Cambridge, MA: MIT Press. pp. 3-56.

W. Peterman. (2000). *Neighborhood Planning and Community-Based Development: The Potential and Limits of Grassroots Action*. Thousand Oaks, California: Sage Publications. pp 9 32

Reed and S. Steinberg. (2006). "Liberal Bad Faith in the Wake of Hurricane Katrina" *The Black Commentator* (May 04)
http://www.blackcommentator.com%2F182%2F182_cover_liberals_katrina_pf.html

Nov 14: Poverty and Public Policy (Jennings)

Readings:

Michael B. Katz (1986). *From the War on Poverty to the War on Welfare in The Shadows of the Poorhouse*. New York: Basic Books, pp. 251-293.

J. Jennings (1994). "Persistent Poverty in the United States: Review of Theories and Explanations." In Kushnick and Jennings, *A New Introduction to Poverty...* New York: NYU Press.

U.S. Census Bureau (2005). *Areas of Concentrated Poverty: 1999*. Washington D.C.: U.S. Department of Commerce (July). **AVAILABLE ON BLACKBOARD**

Nov. 19: The Mechanics of Planning Plans (Hollander)

Readings:

C. Hoch, "What Do Planners Do in the United States?" in S. Mandelbawn, et al. *Planning Theory in the 1990s*. New Jersey: Rutgers Center for Urban Policy Research

E.D. Kelly and B. Becker (2000). "Introduction to the Comprehensive Plan" *Community Planning*. Washington D.C.: Island Press, pp 43 – 61

S. B. Kelly (2004). "[Specialized Areas of Planning: Environmental Planning, Urban Design, Historic-Site Preservation, Transportation and Energy Planning]" *Community Planning: How to Solve Urban and Environmental Problems*. New York: Rowman and Littlefield Publishers. pp 107-176.

Nov. 26: Citizen Participation and Planning (Rappaport)

Readings:

Sherry R. Arnstein (1969). "A Ladder of Citizen Participation." *Journal of the American Institute of Planners*, Vol. 35, No. 3, pp. 216-224.

Norman Krumholz (1999). "Equitable Approaches to Local Economic Development." In *Readings in Planning Theory*. pp. 224-236.

Patsy Healey (1996). "The Communicative Turn in Planning Theory and its Implications for Spatial Strategy Formation." In *Readings in Planning Theory*. pp. 237-258.

Paul Davidoff (1965). "Advocacy and Pluralism in Planning." In *Readings in Planning Theory*. pp. 210-223.

R. J. Burby (2003). "Making Plans that Matter: Citizen Involvement and Government Action." *APA Journal*, Vol. 69, No. 1, pp 33-49.

Section 3: Influencing and Implementing Public Policy: Select Issues

Nov. 28: Planning and Public Policy (Hollander and guest)

Readings:

Carl V. Patton and David S. Sawicki (1993). *Basic Methods of Policy Analysis and Planning*, Chapter 1, "The Need for Simple Methods of Policy Analysis and Planning," pp. 1-20.

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Rachelle Alterman and Duncan MacRae, Jr. (1983). "Planning and Policy Analysis." *Journal*

of the American Planning Association Vol. 49, pp. 200-215.

Rachel G. Bratt (2003). "Planning and Policy Education in the U.S.: Historical Roots and Contemporary Approaches." Unpublished manuscript, pp. 1-40.
(Earlier draft of paper presented at the AESOP conference, Volos, Greece, 2002).

Dec. 3: Communication and the Role of the Media (Rappaport)

Readings:

John Hanningan (1995). "News Media and Environmental Communication." In *Environmental Sociology*. London: Routledge. pp. 58-75.

Alison Anderson (1997). "Pressure Politics and the News Media and "News Production." In *Media, Culture and the Environment*. New Jersey: Rutgers University Press. pp. 17-73.

David Brindle (1999). "Media Coverage of Social Policy: A Journalist's Perspective."

In Bob Franklin (ed.) *Social Policy, The Media and Representation*. London: Routledge. pp. 39-50.

Dec. 5: Legislative Politics and the Policy Agenda (Hollander and guest)

Readings:

Roger W. Cobb and Charles D. Elder (1995). "Issues and Agendas" and John W. Kingdon. "Agenda Setting." In Stella Z. Theodoulou and Matthew A. Cahn (eds.), *Public Policy: The Essential Readings*. Englewood, NJ: Prentice Hall. pp. 96-113.

"Overview of the Massachusetts legislature and how to affect it." In *Lobbying on a Shoestring*. pp. 21-39.

Carl V. Patton and David S. Sawicki (1993). *Basic Methods of Policy Analysis and Planning*. Chapter 6, "Identifying Alternatives." pp. 227-256.

Dec. 10: Poster session for Portfolio Projects

PORTFOLIO ASSIGNMENT DUE BY 5:00 P.M.DEC 10